

# Learning Generative Adversarial Networks

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# In this talk

[bit.ly/LDL\\_repo](https://bit.ly/LDL_repo)

## Generative Learning



- What is generative learning?
- Types of generative models

01

## GAN Introduction



- What is GAN?
- Training of GANs

02

## Coding GANs



- DCGANs
- In-house GANs in PyTorch
- GANs using Transfer Learning

03

## GANs World



- Variant GANs
  - SAGAN, CGAN, CycleGAN
- Challenges in GANs

04

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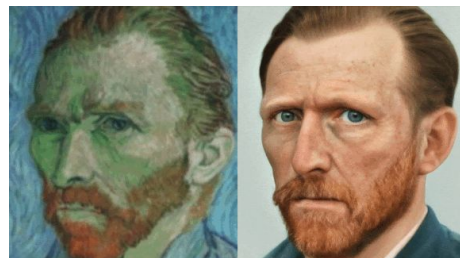
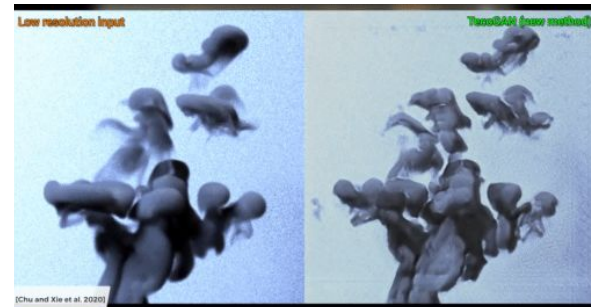
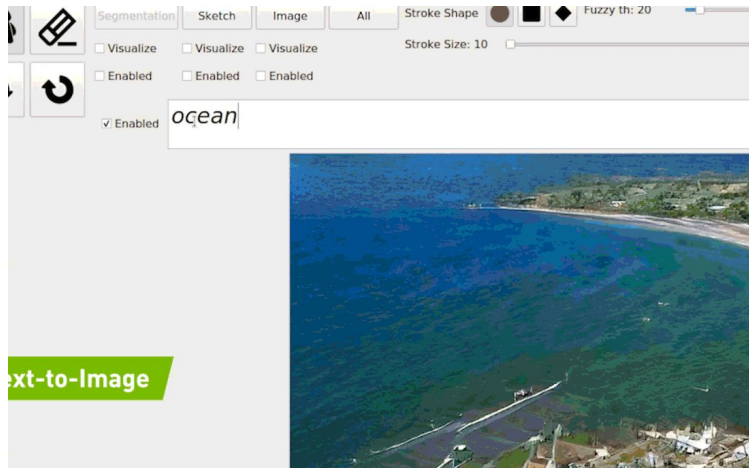
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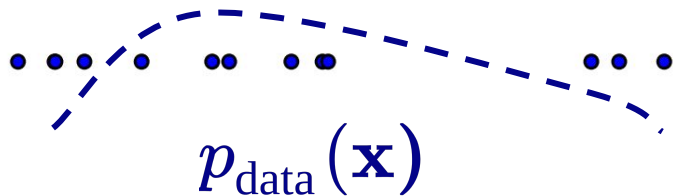
04

# Generative = Creative = Imaginative/Unimaginable

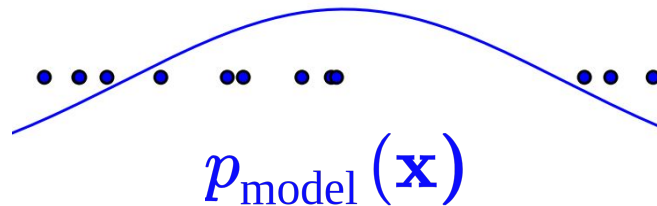


# Generative Learning

Training Data ([source](#))

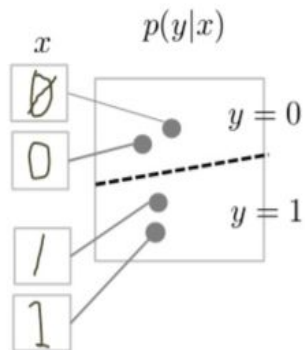


Generated Samples ([source](#))



- Discriminative model

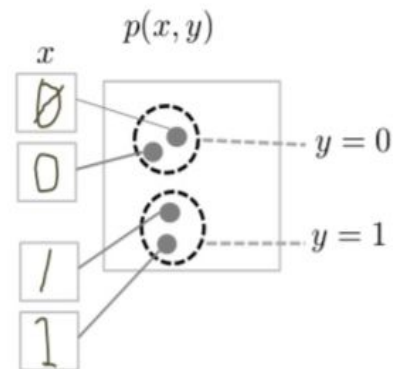
- discriminate labels of data instances
- try to draw boundaries in the data space



- capture the conditional prob.  $p(Y | X)$
- measure the misfit of points
- learn the difference, ignore correlations

- Generative model

- generate new data instances
- try to model how data is placed

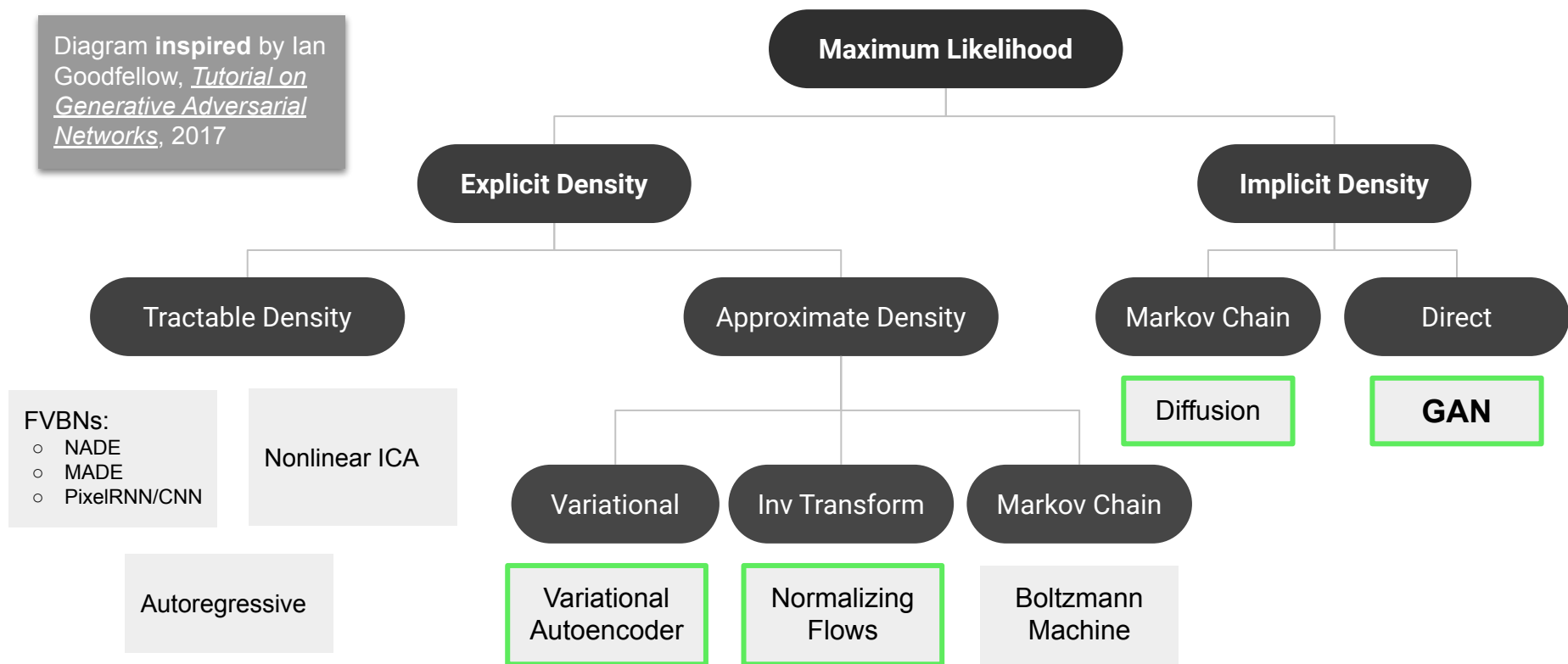


- capture the joint prob.  $p(X, Y)$
- measure the misfit of prob distributions
- learn distributions to capture correlations

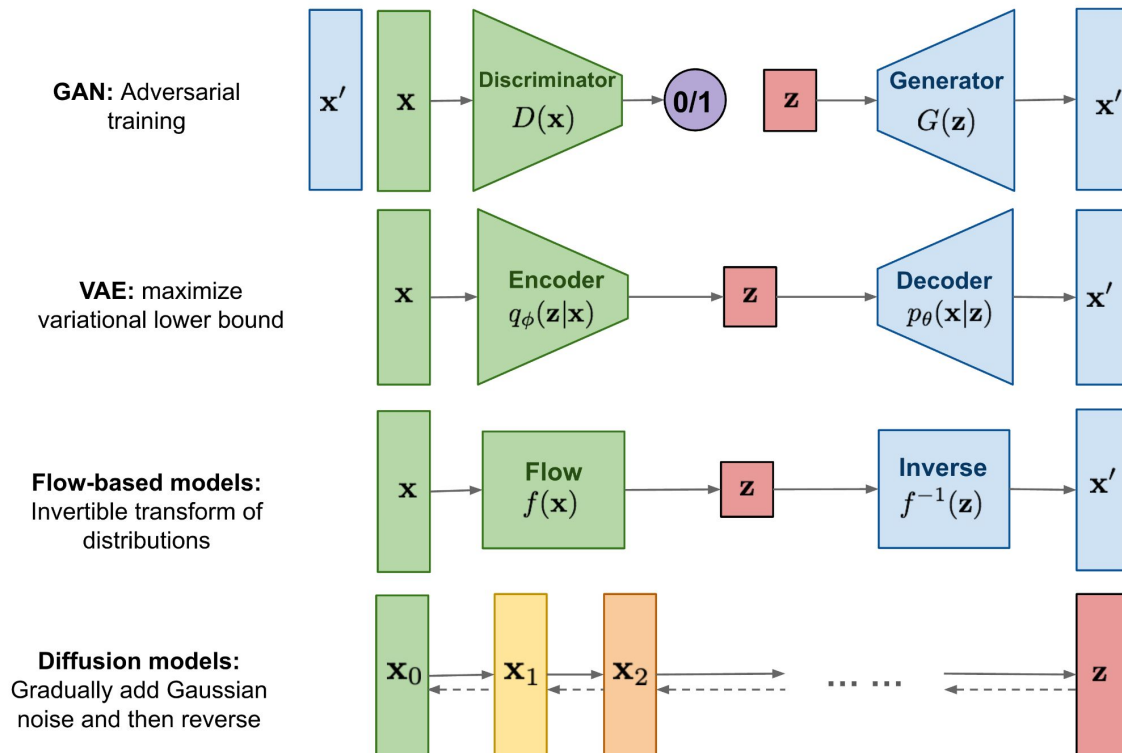
VS

# Taxonomy of Generative Models

Diagram inspired by Ian Goodfellow, *Tutorial on Generative Adversarial Networks*, 2017



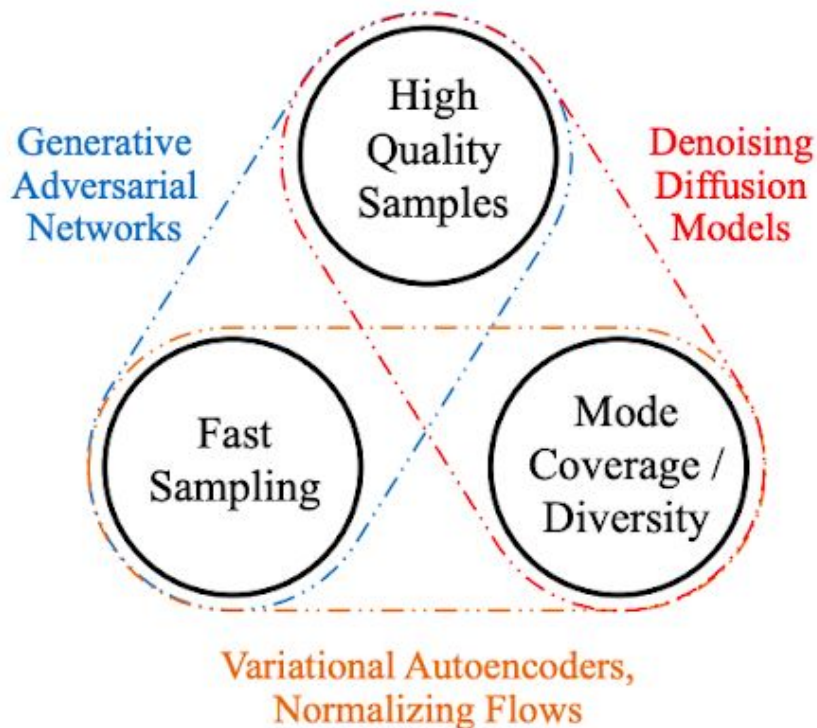
# Network Architectures of 4 Generative Models



[Source](#)



# Generative Learning Trilemma



[Source](#)

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# Generative Adversarial Networks



*"GAN-Father"*

**Ian Goodfellow** @goodfellow\_ian · Jan 14, 2019

4.5 years of GAN progress on face generation. [arxiv.org/abs/1406.2661](https://arxiv.org/abs/1406.2661)  
[arxiv.org/abs/1511.06434](https://arxiv.org/abs/1511.06434) [arxiv.org/abs/1606.07536](https://arxiv.org/abs/1606.07536)  
[arxiv.org/abs/1710.10196](https://arxiv.org/abs/1710.10196) [arxiv.org/abs/1812.04948](https://arxiv.org/abs/1812.04948)



42

1.5K

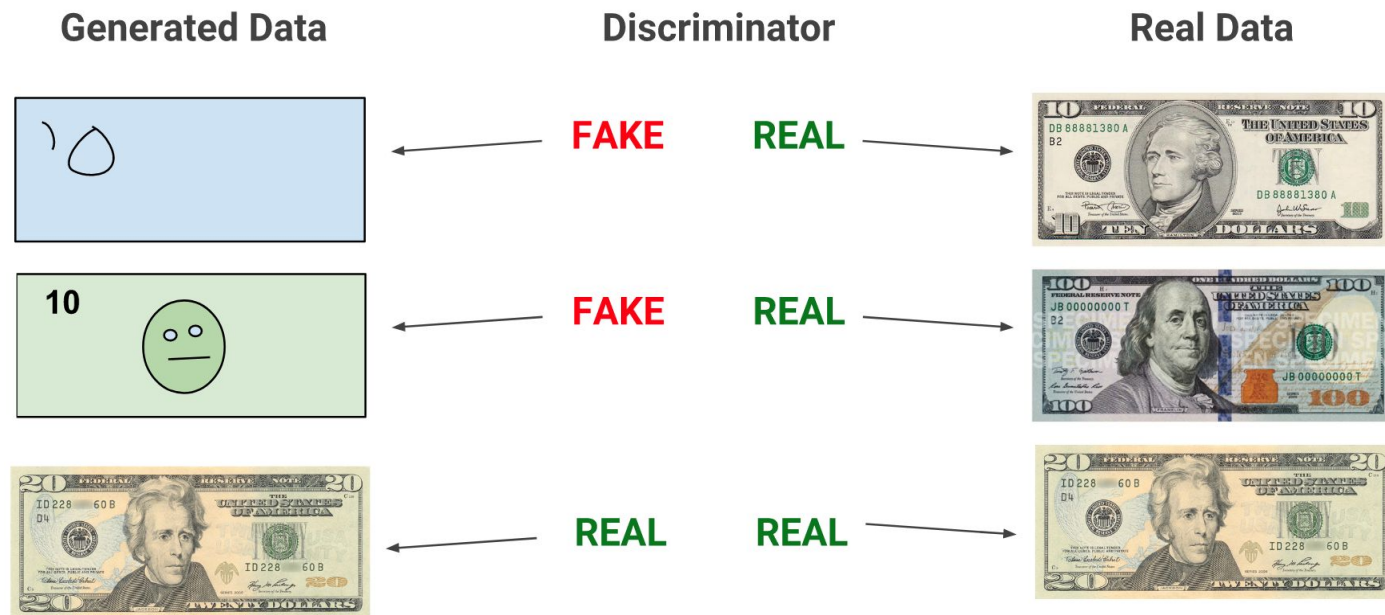
3.7K



2019 StyleGAN2

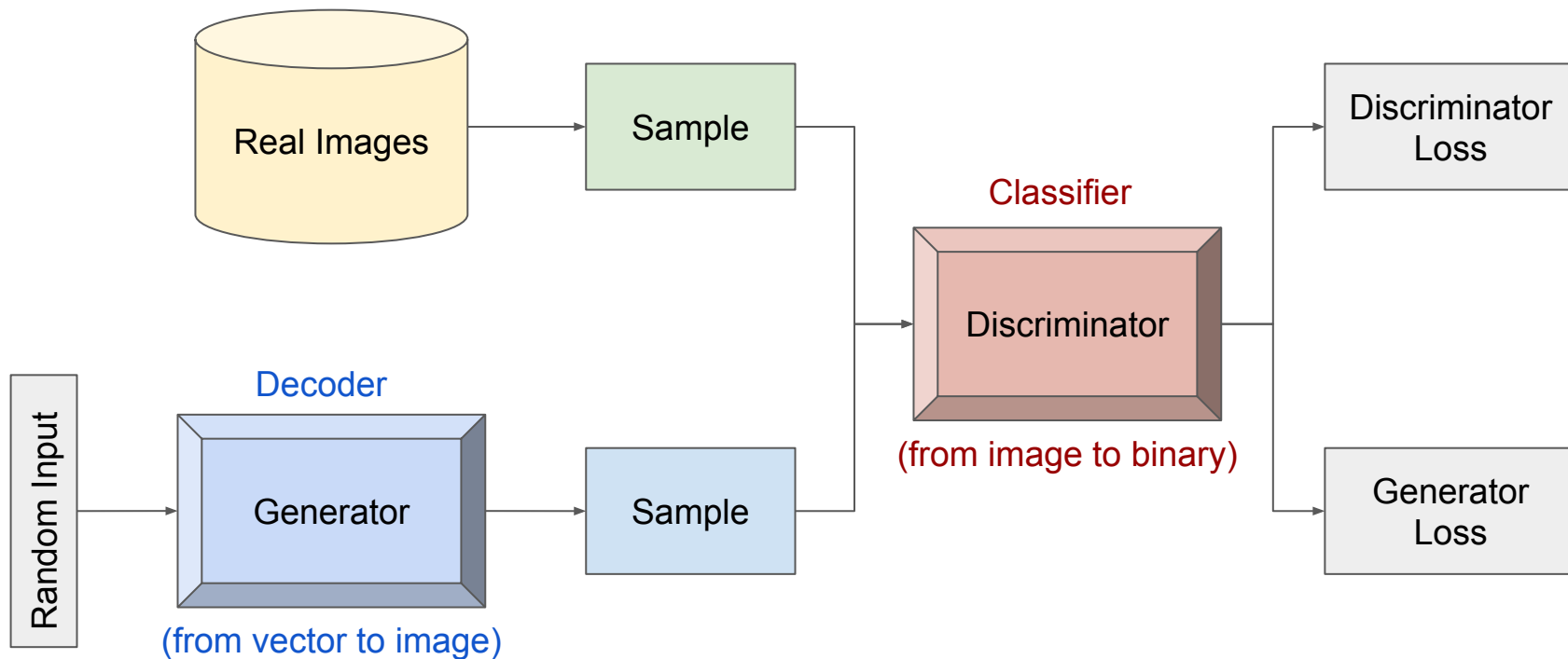
# GAN: Adversarial

- **Generator**: generate plausible data
- **Discriminator**: distinguish the generator's fake data from real data

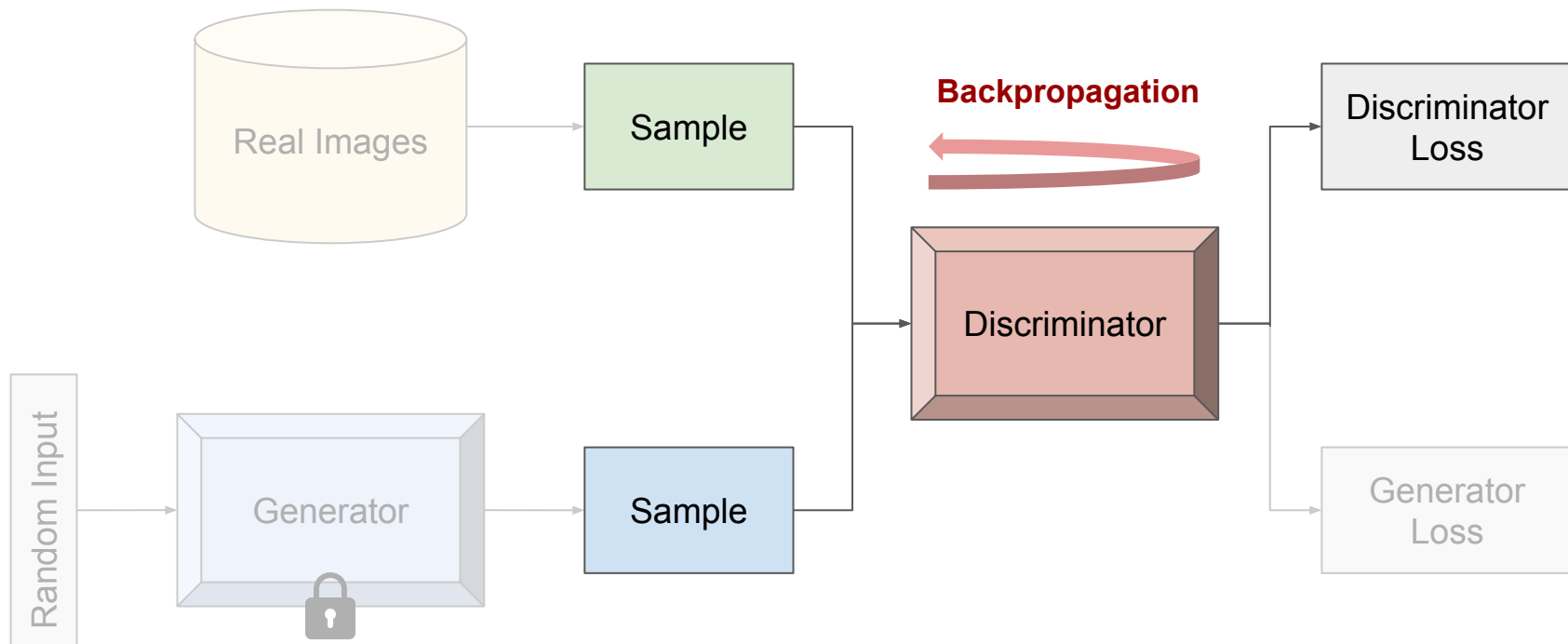


[Image Source](#)

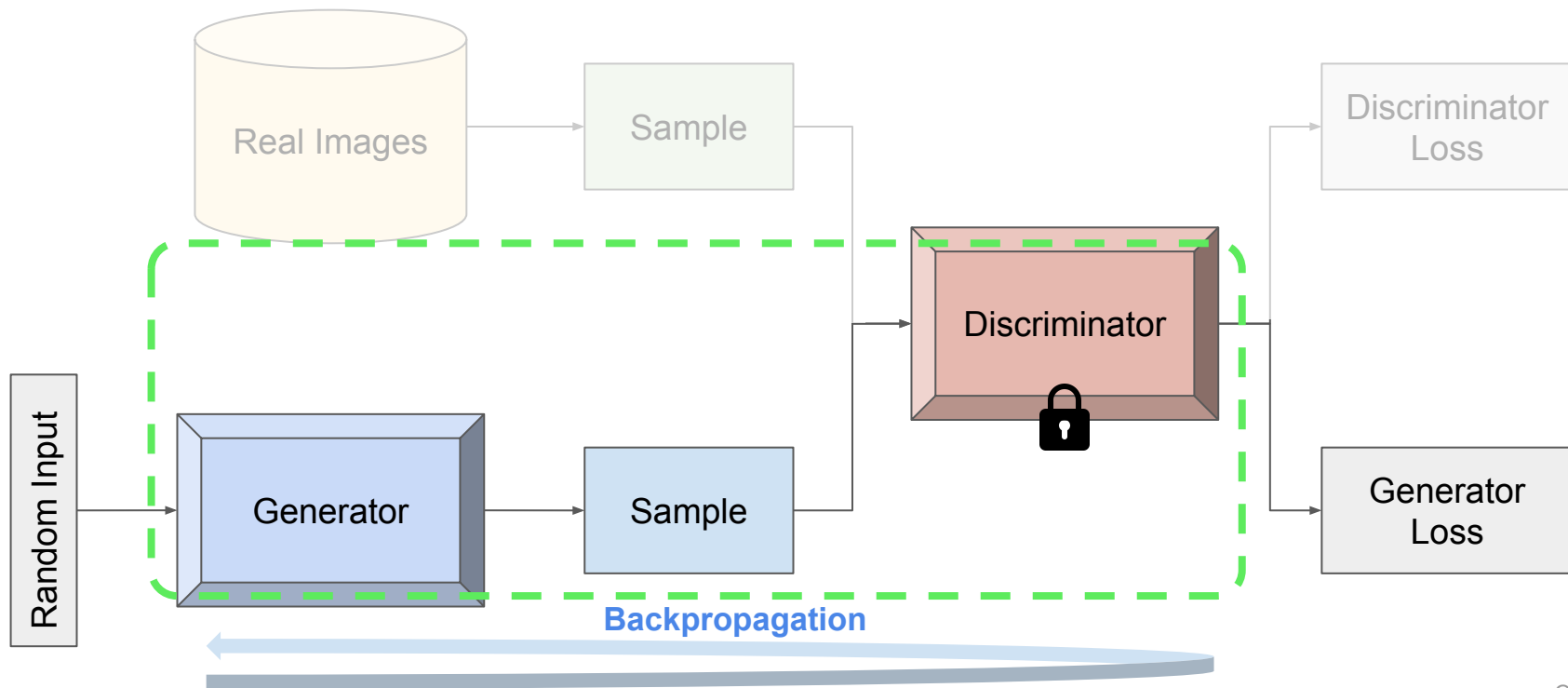
# GAN: Network



# Training of GAN (1): update discriminator



# Training of GAN (2): update generator



# Training of GAN (3): iterate the 2 steps to converge

- Alternate the training periods
  - The discriminator trains for one or more epochs with locking generator
  - The generator trains for one or more epochs with locking discriminator
  - Repeat the above steps
- When to stop
  - While generator improves, discriminator performance gets worse
    - Generator becomes perfect  $\Rightarrow$  discriminator gets 50% accuracy
  - Feedback from discriminator is less meaningful over time
    - At some point discriminator starts giving completely random feedback
    - Generator starts to train on junk feedback, and its own quality may collapse
  - Convergence of GANs is unstable, very hard to identify



# The design logic behind the GAN structure

- Why do we need discriminator ?
  - There are generative models that can learn without discriminator
    - e.g. Variational Autoencoder (VAE)
  - Generator constructs the images in a bottom-up way
    - Very hard to capture the higher-level correlations
  - The discriminator can guide the generator with correlation info in a criticizing way
- Why do we need generator?
  - There are generative models that can learn without generator
    - e.g. Energy based model
  - Discriminator constructs the images in a top-down way
    - Very hard to learn from constructing negative sampling
  - The generated instances become negative training examples for the discriminator.

# Standard Loss function for GAN

- Minimax Loss

- Proposed in the original [Goodfellow's paper](#)

The diagram illustrates the minimax loss function for a GAN. The equation is 
$$\min_G \max_D V(D, G) = \mathbb{E}_{x \sim p_{\text{data}}(x)} (\log D(x)) + \mathbb{E}_{z \sim p_z(z)} [\log(1 - D(G(z)))]$$
 Annotations include: a green box labeled 'Real image sample' pointing to  $x$ ; a grey box labeled 'Noise sample' pointing to  $z$ ; a blue box labeled 'Fake image sample' pointing to  $G(z)$ ; a yellow box labeled 'Probability of the real image is real' pointing to  $D(x)$ ; and an orange box labeled 'Probability of the fake image is real' pointing to  $D(G(z))$ .

- Derives from a single measure of distance ([BCE](#)) between the real and generated distributions

- In practice

- Discriminator loss: maximize  $\frac{1}{n} \sum_{i=0}^n \log(D(x_i)) + \frac{1}{n} \sum_{i=0}^n \log[1 - D(G(z_i))]$
- Generator (not-saturating) loss: maximize  $\frac{1}{n} \sum_{i=0}^n \log[D(G(z_i))]$

# No Pain, No GANs

- Discriminator shouldn't be too good.
  - Good discriminator  $\Rightarrow$  always 100% accuracy
  - Generator has no positive case to follow for learning.
  - Mathematically, falling into the vanishing-gradient zone
  - Generator needs some success, esp. in early stages
- Discriminator shouldn't be too bad.
  - Bad discriminator  $\Rightarrow$  random guess
  - Generator cannot get helpful feedback, esp. in late stages

## Training Tips For GANs

- <https://github.com/soumith/ganhacks>
- Need experiences as always



### Input Normalizing

- normalize the images to  $(-1, 1)$
- Tanh as the last layer of the generator output

Implemented in Demo: Yes



### Tune the learning rates

- Make D not improve too fast
- Make D not improve too slow

Implemented in Demo: Yes



### A modified loss function

- Generator loss function to be  $\max \log(D)$
- Flip labels when training generator:  
 $real = fake, fake = real$

Implemented in Demo: Yes



### BatchNorm

Construct different mini-batches for real and generated samples

Implemented in Demo: Yes



### Add noise to inputs

- Perturb the both real and fake images when training D
- Decay the noise over time.

Implemented in Demo: No



### Avoid Sparse Gradients: ReLU, MaxPool

- LeakyRL is good for G and D
- Use stride, not pooling

Implemented in Demo: Yes



### Use Soft and Noisy Labels

- Real  $\sim$  Uniform(0.7, 1.2)
- Fake  $\sim$  Uniform(0.0, 0.3)

As homework



### Use DCGAN or Hybrid

- Use DCGAN if possible
- If not, use hybrid of KL + GAN or VAE + GAN

Implemented in Demo: Yes

## ADAM

### Use the ADAM Optimizer

optim.Adam rules.

Implemented in Demo: Yes



### Use Dropouts in G

- Provide noise in the form of dropout (50%)
- Apply at both training and test time

Implemented in Demo: No



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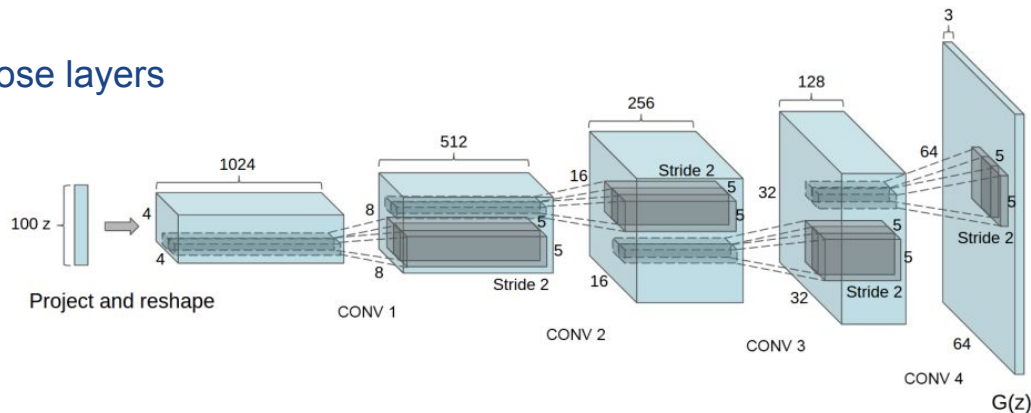
04

# Neural Networks for Generator and Discriminator

- Deep Convolutional Generative Adversarial Networks ([DCGANs](#))

- Generator

- Input: a std-norm latent vector
- Strided 2D Convolutional-transpose layers
- Batch norm layers
- ReLU activations
- Convtrans+*Tanh* before output
- Output: a 3x64x64 RGB image



- Discriminator

- Input: 3x64x64 input image
- Strided convolution layers, batch norm layers, LeakyReLU activations
- Conv+*Sigmoid* before output
- Output: a scalar probability

# Generator Implementation in PyTorch

```
class Generator(nn.Module):
    def __init__(self, nz=128, channels=3):
        super(Generator, self).__init__()

        self.nz = nz
        self.channels = channels

    def convlayer(n_input, n_output, k_size=4, stride=2, padding=0):
        block = [
            nn.ConvTranspose2d(n_input, n_output, kernel_size=k_size, stride=stride, padding=padding, bias=False),
            nn.BatchNorm2d(n_output),
            nn.ReLU(inplace=True),
        ]
        return block

    self.model = nn.Sequential(
        *convlayer(self.nz, 1024, 4, 1, 0), # Fully connected layer via convolution.
        *convlayer(1024, 512, 4, 2, 1),
        *convlayer(512, 256, 4, 2, 1),
        *convlayer(256, 128, 4, 2, 1),
        *convlayer(128, 64, 4, 2, 1),
        nn.ConvTranspose2d(64, self.channels, 3, 1, 1),
        nn.Tanh()
    )

    def forward(self, z):
        z = z.view(-1, self.nz, 1, 1)
        img = self.model(z)
        return img
```

# Discriminator Implementation in PyTorch

```
class Discriminator(nn.Module):
    def __init__(self, channels=3):
        super(Discriminator, self).__init__()

        self.channels = channels

    def convlayer(n_input, n_output, k_size=4, stride=2, padding=0, bn=False):
        block = [nn.Conv2d(n_input, n_output, kernel_size=k_size, stride=stride, padding=padding, bias=False)]
        if bn:
            block.append(nn.BatchNorm2d(n_output))
        block.append(nn.LeakyReLU(0.2, inplace=True))
        return block

    self.model = nn.Sequential(
        *convlayer(self.channels, 32, 4, 2, 1),
        *convlayer(32, 64, 4, 2, 1),
        *convlayer(64, 128, 4, 2, 1, bn=True),
        *convlayer(128, 256, 4, 2, 1, bn=True),
        nn.Conv2d(256, 1, 4, 1, 0, bias=False), # FC with Conv.
    )

    def forward(self, imgs):
        logits = self.model(imgs)
        out = torch.sigmoid(logits)

        return out.view(-1, 1)
```



# Training loop

```
#####  
# (1) Update D network: maximize  $\log(D(x)) + \log(1 - D(G(z)))$   
#####  
# train with real  
netD.zero_grad()  
real_images = real_images.to(device)  
batch_size = real_images.size(0)  
labels = torch.full((batch_size, 1), real_label, device=device)  
  
output = netD(real_images)  
errD_real = criterion(output, labels)  
errD_real.backward()  
D_x = output.mean().item()  
  
# train with fake  
noise = torch.randn(batch_size, nz, 1, 1, device=device)  
fake = netG(noise)  
labels.fill_(fake_label)  
output = netD(fake.detach())  
errD_fake = criterion(output, labels)  
errD_fake.backward()  
D_G_z1 = output.mean().item()  
errD = errD_real + errD_fake  
optimizerD.step()
```

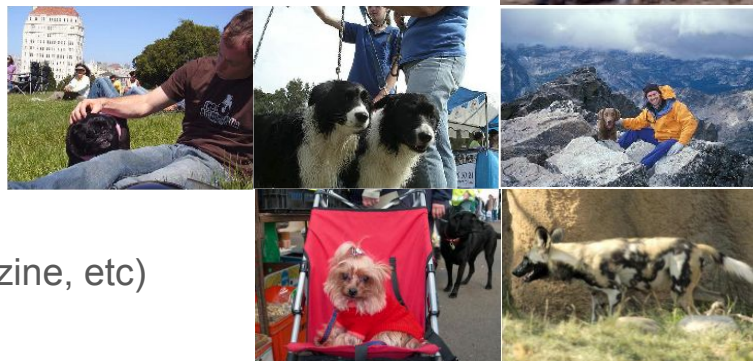
```
real_label = 0.9  
fake_label = 0
```

real\_label  $\neq$  1 to make the discriminator not learn too quickly

```
#####  
# (2) Update G network: maximize  $\log(D(G(z)))$   
#####  
netG.zero_grad()  
labels.fill_(real_label) # fake labels are real for generator cost  
output = netD(fake)  
errG = criterion(output, labels)  
errG.backward()  
D_G_z2 = output.mean().item()  
optimizerG.step()
```

# Today's Demo — Generative Dog Images from Kaggle

- Experiment with creating puppy pics
  - A Kernels-only competition (total 10K prize, expired years ago)
  - [Evaluation](#)
    - Using a pre-trained model (Inception)
    - Calculating MiFID scores
- Using [Stanford Dogs Dataset](#)
  - 20,580 images with annotation info (120 breeds, bounding box)
  - Some dog pictures are very *tricky*
    - Only part of the dogs body
    - Having multiple dogs
    - Having multiple persons
    - Dogs may occupy  $< \frac{1}{5}$  of the picture
    - With various texts (from memes, magazine, etc)
    - Even wild predators included



# Colab Hands-on

[bit.ly/LDL\\_gan](https://bit.ly/LDL_gan)

# Using Transfer Learning for GANs

- StyleGAN from Nvidia

- StyleGAN (2018), StyleGAN2 (2019)
- StyleGAN3 (2021): w/ diffusion models
- StyleGAN-T(2023)

- Animal Faces HQ v2

- 15,000 images at 512×512 res.
- Cat, dog and wildlife

- Test for the dog project:

- StyleGAN3 pretrained model:  
stylegan3-r-afhqv2-512x512.pkl
- AFHQv2 dogs (5000 images)
- Request one A100 GPU from H2
- Trained through “python train.py”
- Ran 2 days with 500 epochs

Real



Fake Initial



Fake Trained



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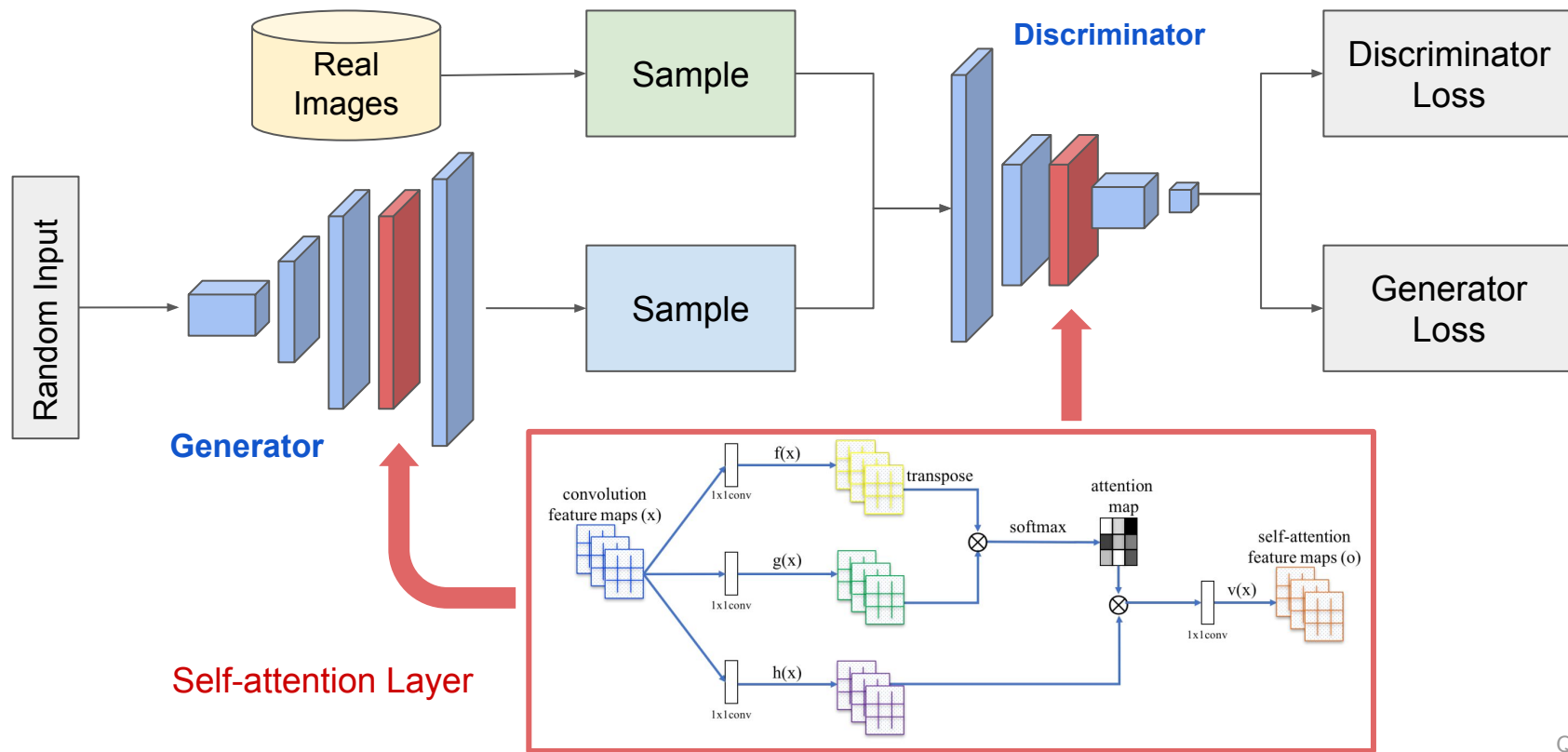
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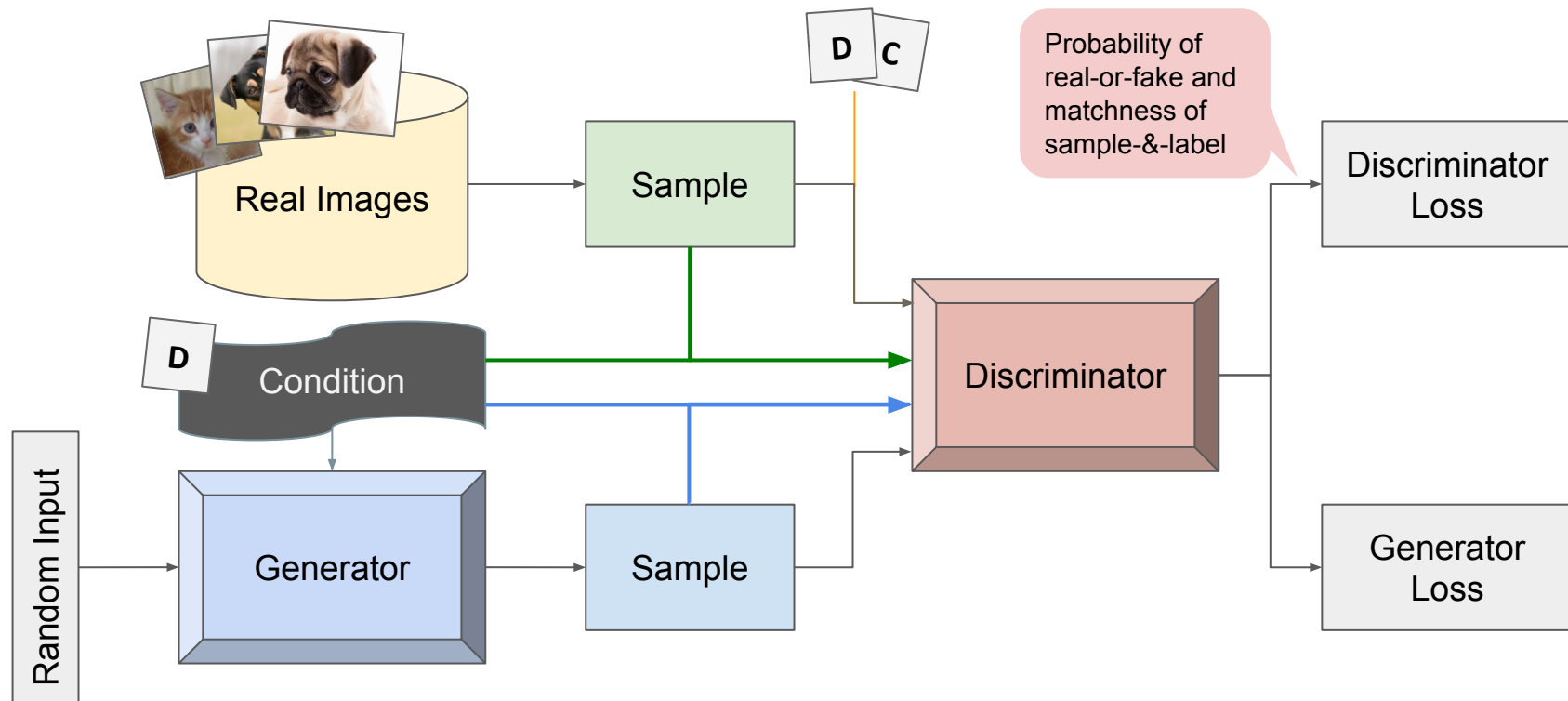
# A lot of different GANs!

- Various design of network structures
  - SAGANs
  - Conditional GANs
  - CycleGANs
  - InfoGANs
  - EB-GANs
  - VAE-GANs
  - BiGANs
  - Triple-GANs
  - ...
- Various metrics for objective functions
  - WGANs
  - LSGANs
  - RGANs
  - Cramer GANs
  - Fisher GANs
  - MMD GANs
  - McGANs
  - HingeGANs
  - ...
- Combining the two
  - BEGAN
  - MAGANs
  - ...

# SAGAN: DCGANs + Self-Attention Layer [\(Zhang, et al. 2018\)](#)

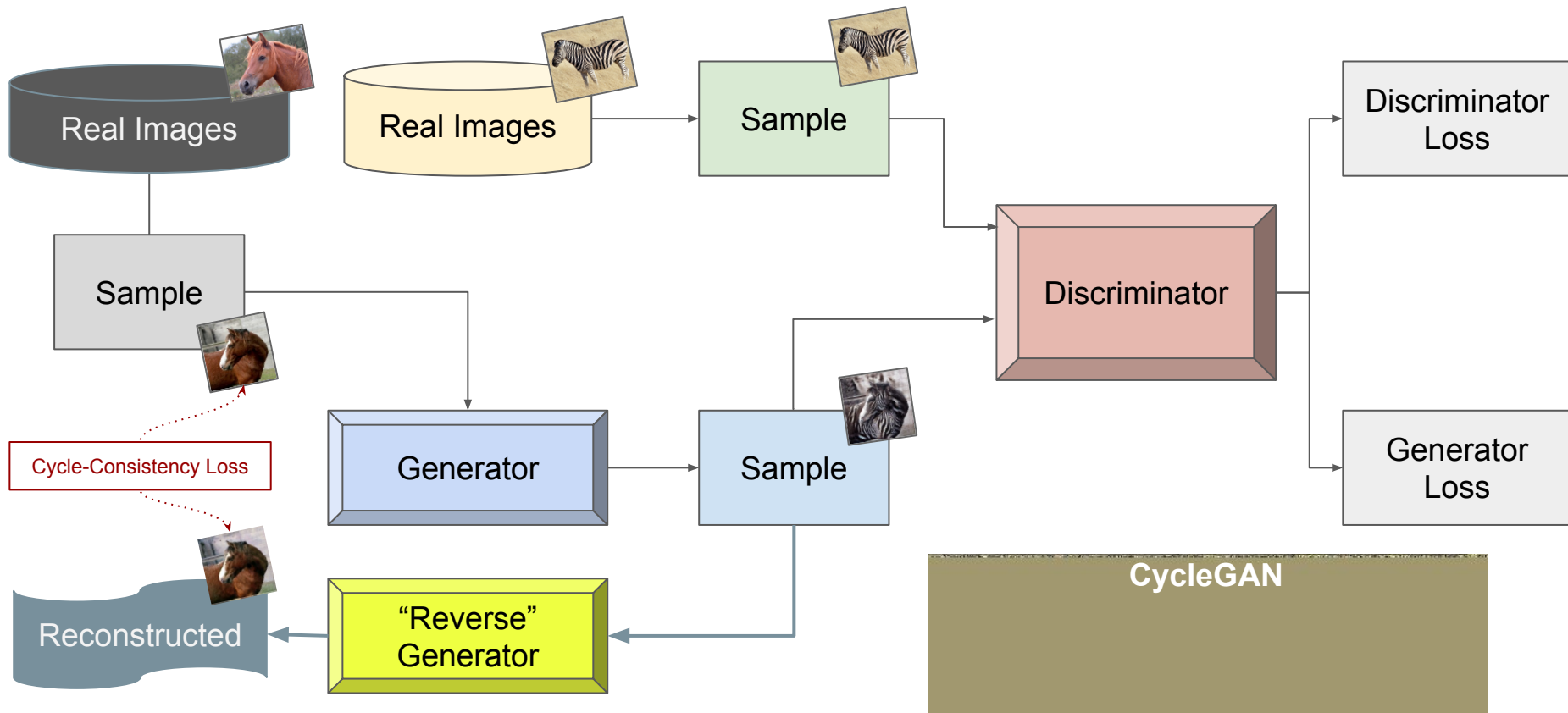


# Conditional GAN: generate images with specific class





# CycleGAN: *unsupervised* conditional GAN



# Some GAN loss function variations

## SGAN (non-saturating)

$$L_D^{SGAN} = -\mathbb{E}_{x_r \sim \mathbb{P}} [\log(\text{sigmoid}(C(x_r)))] - \mathbb{E}_{x_f \sim \mathbb{Q}} [\log(1 - \text{sigmoid}(C(x_f)))]$$

$$L_G^{SGAN} = -\mathbb{E}_{x_f \sim \mathbb{Q}} [\log(\text{sigmoid}(C(x_f)))]$$

## RSGAN

$$L_D^{RSGAN} = -\mathbb{E}_{(x_r, x_f) \sim (\mathbb{P}, \mathbb{Q})} [\log(\text{sigmoid}(C(x_r) - C(x_f)))]$$

$$L_G^{RSGAN} = -\mathbb{E}_{(x_r, x_f) \sim (\mathbb{P}, \mathbb{Q})} [\log(\text{sigmoid}(C(x_f) - C(x_r)))]$$

## RaSGAN

$$L_D^{RaSGAN} = -\mathbb{E}_{x_r \sim \mathbb{P}} [\log(\tilde{D}(x_r))] - \mathbb{E}_{x_f \sim \mathbb{Q}} [\log(1 - \tilde{D}(x_f))]$$

$$L_G^{RaSGAN} = -\mathbb{E}_{x_f \sim \mathbb{Q}} [\log(\tilde{D}(x_f))] - \mathbb{E}_{x_r \sim \mathbb{P}} [\log(1 - \tilde{D}(x_r))]$$

$$\tilde{D}(x_r) = \text{sigmoid}(C(x_r) - \mathbb{E}_{x_f \sim \mathbb{Q}} C(x_f))$$

$$\tilde{D}(x_f) = \text{sigmoid}(C(x_f) - \mathbb{E}_{x_r \sim \mathbb{P}} C(x_r))$$

## LSGAN

$$L_D^{LSGAN} = \mathbb{E}_{x_r \sim \mathbb{P}} [(C(x_r) - 0)^2] + \mathbb{E}_{x_f \sim \mathbb{Q}} [(C(x_f) - 1)^2]$$

$$L_G^{LSGAN} = \mathbb{E}_{x_f \sim \mathbb{Q}} [(C(x_f) - 0)^2]$$

## RaLSGAN

$$L_D^{RaLSGAN} = \mathbb{E}_{x_r \sim \mathbb{P}} [(C(x_r) - \mathbb{E}_{x_f \sim \mathbb{Q}} C(x_f) - 1)^2] + \mathbb{E}_{x_f \sim \mathbb{Q}} [(C(x_f) - \mathbb{E}_{x_r \sim \mathbb{P}} C(x_r) + 1)^2]$$

$$L_G^{RaLSGAN} = \mathbb{E}_{x_f \sim \mathbb{Q}} [(C(x_f) - \mathbb{E}_{x_r \sim \mathbb{P}} C(x_r) - 1)^2] + \mathbb{E}_{x_r \sim \mathbb{P}} [(C(x_r) - \mathbb{E}_{x_f \sim \mathbb{Q}} C(x_f) + 1)^2]$$

## HingeGAN

$$L_D^{HingeGAN} = \mathbb{E}_{x_r \sim \mathbb{P}} [\max(0, 1 - C(x_r))] + \mathbb{E}_{x_f \sim \mathbb{Q}} [\max(0, 1 + C(x_f))]$$

$$L_G^{HingeGAN} = -\mathbb{E}_{x_f \sim \mathbb{Q}} [C(x_f)]$$

## RaHingeGAN

$$L_D^{HingeGAN} = \mathbb{E}_{x_r \sim \mathbb{P}} [\max(0, 1 - \tilde{D}(x_r))] + \mathbb{E}_{x_f \sim \mathbb{Q}} [\max(0, 1 + \tilde{D}(x_f))]$$

$$L_G^{HingeGAN} = \mathbb{E}_{x_f \sim \mathbb{Q}} [\max(0, 1 - \tilde{D}(x_f))] + \mathbb{E}_{x_r \sim \mathbb{P}} [\max(0, 1 + \tilde{D}(x_r))]$$

$$\tilde{D}(x_r) = C(x_r) - \mathbb{E}_{x_f \sim \mathbb{Q}} C(x_f)$$

$$\tilde{D}(x_f) = C(x_f) - \mathbb{E}_{x_r \sim \mathbb{P}} C(x_r)$$

## WGAN-GP

$$L_D^{WGAN-GP} = -\mathbb{E}_{x_r \sim \mathbb{P}} [C(x_r)] + \mathbb{E}_{x_f \sim \mathbb{Q}} [C(x_f)] + \lambda \mathbb{E}_{\hat{x} \sim \mathbb{P}_{\hat{x}}} [(\|\nabla_{\hat{x}} C(\hat{x})\|_2 - 1)^2]$$

$$L_G^{WGAN-GP} = -\mathbb{E}_{x_f \sim \mathbb{Q}} [C(x_f)]$$

$\mathbb{P}_{\hat{x}}$  is the distribution of  $\hat{x} = \epsilon x_r + (1 - \epsilon)x_f$ , where  $x_r \sim \mathbb{P}$ ,  $x_f \sim \mathbb{Q}$ ,  $\epsilon \sim U[0, 1]$ .

## RSGAN-GP

$$L_D^{RSGAN} = -\mathbb{E}_{(x_r, x_f) \sim (\mathbb{P}, \mathbb{Q})} [\log(\text{sigmoid}(C(x_r) - C(x_f)))] + \lambda \mathbb{E}_{\hat{x} \sim \mathbb{P}_{\hat{x}}} [(\|\nabla_{\hat{x}} C(\hat{x})\|_2 - 1)^2]$$

$$L_G^{RSGAN} = -\mathbb{E}_{(x_r, x_f) \sim (\mathbb{P}, \mathbb{Q})} [\log(\text{sigmoid}(C(x_f) - C(x_r)))]$$

$\mathbb{P}_{\hat{x}}$  is the distribution of  $\hat{x} = \epsilon x_r + (1 - \epsilon)x_f$ , where  $x_r \sim \mathbb{P}$ ,  $x_f \sim \mathbb{Q}$ ,  $\epsilon \sim U[0, 1]$ .

## RaSGAN-GP

$$L_D^{RaSGAN} = -\mathbb{E}_{x_r \sim \mathbb{P}} [\log(\tilde{D}(x_r))] - \mathbb{E}_{x_f \sim \mathbb{Q}} [\log(1 - \tilde{D}(x_f))] + \lambda \mathbb{E}_{\hat{x} \sim \mathbb{P}_{\hat{x}}} [(\|\nabla_{\hat{x}} C(\hat{x})\|_2 - 1)^2]$$

$$L_G^{RaSGAN} = -\mathbb{E}_{x_f \sim \mathbb{Q}} [\log(\tilde{D}(x_f))] - \mathbb{E}_{x_r \sim \mathbb{P}} [\log(1 - \tilde{D}(x_r))]$$

$$\tilde{D}(x_r) = \text{sigmoid}(C(x_r) - \mathbb{E}_{x_f \sim \mathbb{Q}} C(x_f))$$

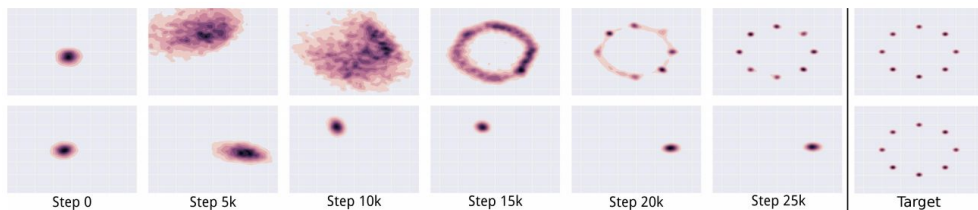
$$\tilde{D}(x_f) = \text{sigmoid}(C(x_f) - \mathbb{E}_{x_r \sim \mathbb{P}} C(x_r))$$

$\mathbb{P}_{\hat{x}}$  is the distribution of  $\hat{x} = \epsilon x_r + (1 - \epsilon)x_f$ , where  $x_r \sim \mathbb{P}$ ,  $x_f \sim \mathbb{Q}$ ,  $\epsilon \sim U[0, 1]$ .

# Challenges in GANs

- Mode collapse

Generator produces samples with a limited set of modes



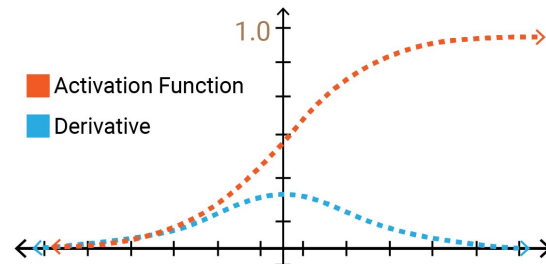
[Source](#)

- ✓ Wasserstein loss
- ✓ Unrolled and packing

- Convergence failure

- ✓ Adding noise to discriminator inputs
- ✓ Penalizing discriminator weights
- ✓ Relativistic metrics

- Vanishing gradient



- ✓ Gradient Penalty
- ✓ Spectral Normalization

- Result evaluation

- ✓ Inception Score
- ✓ Fréchet Inception Distance (FID, MiFID)

# Survey

[bit.ly/41GImq3](https://bit.ly/41GImq3)